

**COMMONWEALTH OF KENTUCKY
OFFICE OF THE ATTORNEY GENERAL
CONSUMER PROTECTION DIVISION
DETERMINATION OF REGISTRATION FOR SALE OF BUSINESS OPPORTUNITY
FORM A-1
INSTRUCTION SHEET**

1. PLEASE TYPE
2. ANSWER EACH QUESTION THOROUGHLY AND IN A DETAILED MANNER.
YOU MAY USE ADDITIONAL PAGES IF NECESSARY.
3. ATTACH ALL SUPPORTING DOCUMENTS (Offering Circulars, Brochures, Sales
Materials, etc.)
4. IF CLAIMING AN EXEMPTION, ATTACH EXEMPTION FORM
5. MAIL APPLICATION TO:

OFFICE OF THE ATTORNEY GENERAL
CONSUMER PROTECTION DIVISION
ATTN: BUSINESS OPPORTUNITY
1024 CAPITAL CENTER DRIVE
FRANKFORT, KY 40601-8204

THE OFFICE OF THE ATTORNEY GENERAL DOES NOT DISCRIMINATE ON THE BASIS OF RACE, COLOR, NATIONAL ORIGIN, SEX, RELIGION, AGE OR DISABILITY IN EMPLOYMENT OR THE PROVISION OF SERVICES AND PROVIDES, UPON REQUEST, REASONABLE ACCOMMODATION INCLUDING AUXILIARY AIDS AND SERVICES NECESSARY TO AFFORD INDIVIDUALS WITH DISABILITIES AN EQUAL OPPORTUNITY TO PARTICIPATE IN ALL PROGRAMS AND ACTIVITIES.

**COMMONWEALTH OF KENTUCKY
APPLICATION FOR BUSINESS OPPORTUNITY DETERMINATION
FORM A-1**

1. Name of business opportunity:

2. Complete address and telephone number of principal office:

3. Address and telephone number of principal office in Kentucky:

4. List trade names, assumed names and all trademarks by which the offeror or the prospective consumer/investor will be doing business:

5. List all officers, directors and salesmen of the offeror along with their home addresses, home telephone numbers and social security numbers:

6. Description of product or service to be offered:

7. Describe any sales commissions or other remuneration to be paid to anyone in connection with offers and sales of the business opportunities. List names of persons and/or positions to receive commissions:

8. List other states in which the business opportunities have been or are being offered for sale:

9. Proposed beginning date and length of time for offering business opportunity in Kentucky:

(Beginning date) (Length of time)

10. Will the offeror obtain an initial required consideration of not less than \$500 from the purchase or lease of the business opportunity or inventory :

Yes _____ No _____ Attach supporting documents _____

11. Has the offeror represented, directly or indirectly, that the consumer/investor will earn, can earn, or is likely to earn a gross or net profit in excess of the initial required investment paid by the consumer/investor:

Yes _____ No _____ Attach supporting documents _____

12. Has the offeror represented that he has knowledge of the relevant market and that the market demand will enable the consumer/investor to earn a profit:

Yes _____ No _____ Attach supporting documents _____

13. Has the offeror represented that locations will be provided or assistance will be given directly or indirectly to the consumer/investor finding locations for the use or operation of the business opportunity:

Yes _____ No _____ Attach supporting documents _____

14. Has the offeror represented that there is a guaranteed market or that the offeror will buy back or is likely to buy back any product made, manufactured, produced, fabricated, grown or bred by the consumer/investor using in whole or in part, the products, supplies, equipment or services which were initially sold or offered for sale to the consumer/investor by the offeror:

Yes _____ No _____ Attach supporting documents _____

15. Has the registrant or any of its officers, directors, or sales representatives been a defendant or is currently a defendant in any litigation or declared bankruptcy within the last 7 years:

Yes _____ No _____ If yes, please specify:

FURTHER AFFIANT SAYETH NOT.

I, _____ certify that I am _____ of
(name) (title)

(company name)

and that to the best of my knowledge and belief the foregoing information is true and correct.

Affiant

Subscribed and sworn to before me this the _____ day of _____, 19 _____.

Notary Public

My commission expires _____